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Washington, D.C. 20231

Attorney's Docket No. SONY-P9651
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First Named Inventor ISAO ICHIMURA

UTILITY PATENT APPLICATION TRANSMITTAL
(under 37 CFR 1.53(b))

SIR:

Transmitted herewith for filing is the patent application entitled:
APPARATUS AND METHOD FOR RECORDING/REPRODUCING OPTICAL INFORMATION

CERTIFICATION UNDER 37 CFR § 1.10

I hereby certify that this New Application and the documents referred to as enclosed herein are being deposited with the United States Postal Service on this date June 11, 1999, in an envelope bearing "Express Mail Post Office To Addressee" Mailing Label Number EL254067045US addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

Howard Wong

(Name of person mailing paper)

(Signature)

Enclosed are:

1. ☒ Transmittal Form (two copies required)
2. The papers required for filing date under CFR § 1.53(b):
 - i. 53 Pages of specification (including claims and abstract);
 - ii. 6 Sheets of drawings.
 — formal ☒ informal
3. Declaration or oath
 - a. ☒ Unsigned

ACCOMPANYING APPLICATION PARTS

4. ☐ An assignment of the invention to Sony Corporation is attached (including Form PTO-1595).
 - i. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
5. ☒ Power of Attorney (unsigned)
6. ☐ An Information Disclosure Statement (IDS) is enclosed, including a PTO-1449 and copies of ☐ references.
7. ☐ Preliminary Amendment.
8. ☒ Return Receipt Postcard (MPEP 503 -- should be specifically itemized)
9. ☐ Other

10. FOREIGN PRIORITY

- ☒ Priority of application no. P10-173704 filed on June 19, 1998 in Japan is claimed under 35 USC 119.

The certified copy of the priority application:

- ☒ is filed herewith; or
— has been filed in prior application no. ☐ filed on ☐, or
— will be provided.

☐ English Translation Document (if applicable)

11. FEE CALCULATION

- a. ☐ Amendment changing number of claims or deleting multiple dependencies is enclosed.

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Basic Fee (\$760)
Total Claims	28 - 20	* 8	x \$18.00	144.00
Independent Claims	4 - 3	* 78.00	x \$78.00	78.00
Multiple dependent claim(s), if any			\$260.00	0

*If less than zero, enter "0".

Filing Fee Calculation \$982.00

50% Filing Fee Reduction (if applicable) \$

12. Small Entity Status

- a. ☐ A small entity statement is enclosed.
b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
c. ☐ is no longer claimed.

13. Other Fees

- ☐ Recording Assignment [\$40.00] \$0
☐ Other fees
Specify _____ \$0

Total Fees Enclosed \$982.00

14. Payment of Fees

- ☒ Check(s) in the amount of \$ 982.00 enclosed.
☐ Charge Account No. 12-1420 in the amount of \$ ____.
A duplicate of this transmittal is attached.

15. All correspondence regarding this application should be forwarded to the undersigned attorney:

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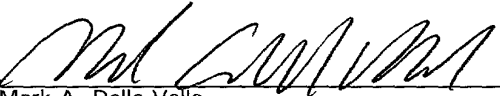
16. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication and which may be required under 37 CFR § 1.16 or § 1.17 to Account No. 12-1420. **A duplicate of this transmittal is attached.**

LIMBACH & LIMBACH L.L.P.

June 11, 1999
(Date)

Attorney Docket No. SONY-P9651
[599P0651US00]

By: 
Mark A. Dalla Valle
Registration No. 34,147
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APPARATUS AND METHOD FOR RECORDING/REPRODUCING
OPTICAL INFORMATION

BACKGROUND OF THE INVENTION

The present invention relates to an optical information recording/reproducing apparatus and an optical information recording/reproducing method for recording or reproducing optical information data on or from an optical recording medium by using a two-group objective lens having an increased numerical aperture.

In an apparatus for recording or reproducing optical information on or from an optical recording medium, a spot size "d" on the recording medium is expressed by the following equation:

$$d = \lambda / NA \quad \dots (1)$$

In this equation, λ is the wavelength of a light beam and NA is a numerical aperture of an objective lens.

As is apparent from the equation (1), the shorter the wavelength λ of a light beam emitted from a light source becomes and/or the larger the numerical aperture NA of an objective lens becomes, the smaller the spot size "d" becomes. The reduction of the spot size "d" enables high density recording of optical information.

It is known that the use of an aspherical two-group

objective lens is effective to make large the numerical aperture of an objective lens which is one of the above factors to reduce the spot size "d". Japanese Patent Laid-open No. Hei 9-251645 (Japanese Patent Application No. Hei 8-58870) by the present top inventor and the present applicant discloses an apparatus and a method for recording or reproducing optical information on or from a recording medium using a two-group objective lens, characterized by suppressing occurrence of spherical aberration.

In the case of using such a two-group objective lens, to obtain the best reproducing signal from a recording medium, it is required to optimize a distance between lenses constituting the two-group objective lens for minimizing wavefront aberration. Japanese Patent Application No. Hei 8-340903 made by the present top inventor and the present applicant discloses an apparatus and a method for recording/reproducing optical information on or from an optical disk. In this document, an optical disk drive using an optical head having a two-group objective lens is configured such that lenses constituting the two-group objective lens are integrally moved for focus servo operation and then the tail spherical lens of the two-group objective lens is

independently moved in the direction of the optical axis to minimize wavefront aberration.

The R.M.S (route mean square) value of the wave front aberration should be preferably less than $\lambda/14$.

A focal depth (fd) defined by NA and λ is expressed by the following equation:

$$fd = \lambda / NA^2 \quad \dots (2)$$

As is apparent from the equation (2), in the case of using an objective lens with a high numerical aperture, the focal depth fd is significantly reduced. For example, the focal depth fd of a two-group objective lens having a numerical aperture NA of 0.85 is reduced to about half that of an objective lens (numerical aperture NA: 0.6) of an optical disk drive used for a DVD (Digital Video Disk)-RAM (Random Access Memory).

Accordingly, in the case of using an objective lens with a high numerical aperture, more accurate focus control is required to be performed sufficiently to follow a change in environmental temperature, a change with elapsed time, and the like. Japanese Patent Application No. Hei 9-84090 made by the present top inventor and the present applicant discloses a focus control device and a method thereof, and an optical disk drive using an optical head having a two-group objective

lens with a high numerical aperture, in which focus control is accurately performed by optimizing the servo offset in focus servo, to thereby obtain the best reproducing signal from an optical disk.

Since the distance between lenses of a two-group objective lens and the servo offset in focus servo are both optimized on the basis of the same reproducing signal from a recording medium, it is required to establish a method capable of simultaneously optimizing both the above distance between lenses and servo offset. Also it is required to optimize the position of each lens before recording is started. Further, in the case of an unrecorded medium, the above optimization must be performed using pre-formatted embossed pits or the like.

The above-described related art methods, however, have problems. Namely, although the distance between lenses of a two-group objective lens and the servo offset in focus servo must be simultaneously optimized because they are optimized on the basis of the same reproducing signal from a recording medium, the above related art methods do not consider any method of simultaneously optimizing both the distance between lenses and servo offset.

In the case of a unrecorded medium, it may be

considered to perform the above optimization using pre-formatted embossed pits or the like; however, since these pits are generally, discretely formed on a recording medium, there occurs an inconvenience that it is difficult to perform the above optimization using continuous reproducing signals.

SUMMARY OF THE INVENTION

An object of the present invention is to provide an optical information recording/reproducing apparatus and an optical information recording/reproducing method, which are capable of simultaneously optimizing a distance between lenses of a two-group objective lens and an offset value in focus control.

To achieve the above object, according to a first aspect of the present invention, there is provided an optical information recording/reproducing apparatus including an optical pickup for making a light beam emitted from a light source incident on a recording medium via a two-group objective lens so as to record or reproduce optical information on or from the recording medium, the two-group objective lens including a first lens disposed in the vicinity of the recording medium and a second lens disposed at a position facing to the

recording medium with the first lens put therebetween, the apparatus including: a moving means for cyclically moving at least one of the first lens and the second lens constituting part of the optical pickup in the direction of the optical axis thereof; and a control means for performing, upon focusing operation, the positional adjustment of the first lens and the second lens after start-up of focus control, on the basis of reproducing signals obtained from the recording medium at one or more points of the cyclic movement of the at least one of the first lens and the second lens by the moving means.

According to a second aspect of the present invention, there is provided an optical information recording/reproducing apparatus including an optical pickup for making a light beam emitted from a light source incident on a recording medium via a two-group objective lens and at least a third lens so as to record or reproduce optical information on or from the recording medium, the two-group objective lens including a first lens disposed in the vicinity of the recording medium and a second lens disposed at a position facing to the recording medium with the first lens put therebetween and being configured such that a distance between the first lens and the second lens is fixed, the apparatus

including: a first drive means for integrally driving the first lens and the second lens in the direction of the optical axis thereof, and a second drive means for driving the third lens in the direction of the optical axis thereof; a moving means for cyclically moving at least one of the first and second lenses and the third lens constituting part of the optical pickup in the direction of the optical axis thereof; and a control means for performing, upon focusing operation, the positional adjustment of the first and second lenses and the third lens after start-up of focus control, on the basis of reproducing signals obtained from the recording medium at one or more points of the cyclic movement of the at least one of the first and second lenses and the third lens by the moving means.

According to a third aspect of the present invention, there is provided an optical information recording/reproducing apparatus including an optical pickup for making a light beam emitted from a light source incident on a recording medium via a primary lens disposed in the vicinity of the recording medium and at least a secondary lens so as to record or reproduce optical information on or from the recording medium, the apparatus including: a first drive means for driving the

primary lens in the direction of the optical axis thereof, and a second drive means for driving the secondary lens in the direction of the optical axis thereof; a moving means for cyclically moving at least one of the primary lens and the secondary lens constituting part of the optical pickup in the direction of the optical axis thereof; and a control means for performing, upon focusing operation, the positional adjustment of the primary lens and the secondary lens after start-up of focus control, on the basis of reproducing signals obtained from the recording medium at one or more points of the cyclic movement of the at least one of the primary lens and the secondary lens by the moving means.

According to a fourth aspect of the present invention, there is provided an optical information recording/reproducing method which is carried out by using an optical pickup for making a light beam emitted from a light source incident on a recording medium via a two-group objective lens so as to record or reproduce optical information on or from the recording medium, the two-group objective lens including a first lens disposed in the vicinity of the recording medium and a second lens disposed at a position facing to the recording medium with the first lens put therebetween, the method

including the steps of: cyclically moving at least one of the first lens and the second lens constituting part of the optical pickup in the direction of the optical axis thereof; and performing, upon focusing operation, the positional adjustment of the first lens and the second lens after start-up of focus control, on the basis of reproducing signals obtained from the recording medium at one or more points of the cyclic movement of the at least one of the first lens and the second lens by the moving means.

The function of the optical information recording/reproducing apparatus and optical information recording/reproducing apparatus of the present invention will be described below.

First, an offset value in focus control and a distance between lenses of the two-group objective lens are set at pre-set values. Then, sine wave drive signals are applied to the moving means to cyclically, slightly move the first and second objective lenses in the direction of the optical axis.

A low band component of a change in amplitude, that is, an envelope component of a reproducing signal is detected, and is decided whether the amplitude obtained when the distance between the first and second lenses is

minimized is larger or smaller than the amplitude obtained when the distance between the first and second lenses is maximized. If larger, the distance between the first and second lenses of the two-group objective lens is smaller than the optimum value. In this case, control is performed to increase the distance between the first and second lenses.

If the amplitude obtained when the first objective lens becomes closest to the recording medium is smaller than that obtained when the first objective lens becomes remotest from the recording medium, the distance between the first and second lenses of the two-group objective lens is larger than the optimum value. In this case, control is performed to decrease the distance between the first and second lenses.

Next, an operational mode of the optical disk drive is decided. If the drive receives a recording command, the distance between the lenses of the two-group objective lens is fixed and the application of the sine wave drive signals to the moving means for moving the first and second lenses is stopped, and recording is started.

Further, a high band component of the envelope component of a reproducing signal is detected, and it is

decided whether the amplitude obtained when the first and second lenses become closest to the recording medium is larger or smaller than the amplitude obtained when the first and second lenses become remotest from the recording medium. If larger, the signal plane is remoter from the optical disk drive than the focus position is. In this case, control is performed to increase the focus bias value.

If the amplitude obtained when the first and second lenses become closest to the recording medium is smaller than the amplitude obtained when the first and second lenses become remotest from the recording medium, the signal plane is closer to the optical disk drive than the focus position is. In this case, control is performed to decrease the focus bias value.

Next, an operational mode of the optical disk drive is decided. If the drive receives a recording command, the focus bias value is fixed and the application of the sine wave drive signals to the moving means for moving the first and second lenses is stopped, and recording is started.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a diagram showing a configuration of an

aspherical two-group objective lens for an optical system of an optical disk drive of an embodiment of the present invention;

Fig. 2 is a diagram showing a configuration of an optical pickup for reproducing information from an optical disk according to an embodiment of the present invention;

Fig. 3 is a diagram showing arrangement of twelve-divided light receiving elements according to an embodiment of the present invention;

Fig. 4 is a diagram showing a circuit block of an optical disk drive according to an embodiment of the present invention;

Fig. 5 is a diagram showing a disk pre-format according to an embodiment of the present invention;

Figs. 6A and 6B are diagrams showing an amplitude of a reproducing RF signal according to an embodiment of the present invention, wherein Fig. 6A designates a focus servo offset value, and Fig. 6B designates an amplitude of a reproducing signal;

Fig. 7 is a flow chart showing a procedure for optimizing of focus control according to an embodiment of the present invention; and

Fig. 8 is a diagram showing a configuration of an

optical pickup of a type in which the distance of lenses of a two-group objective lens is fixed according to an embodiment of the present invention.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Hereinafter, an optical disk drive using an aspherical two-group objective lens with a high numerical aperture as an embodiment of the present invention will be described with reference to the accompanying drawings.

Fig. 1 is a diagram showing a configuration of an aspherical two-group objective lens used for an optical system of an optical disk drive according to an embodiment of the present invention.

Referring to Fig. 1, a second lens 14 is mounted on a second electromagnetic actuator 15 movable in the direction of the optical axis. The numerical aperture of the second lens 14 is set at about 0.5. A first lens 12 is mounted on a first electromagnetic actuator 13 provided separately from the above-mentioned second electromagnetic actuator 15 in such a manner as to be positioned on the same optical axis as that for the second lens 14. The first lens 12 is controllable at an arbitrary position.

The first lens 12 is moved integrally with the

second lens 14 in the track crossing direction to follow a tracking servo. A light beam emitted from a semiconductor laser light source, which is not shown, is converged on an optical disk 11 through the first and second objective lenses 12 and 14. At this time, the effective numerical aperture of the two-group objective lens becomes about 0.85. In general, the working distance of an objective lens with a high numerical aperture is smaller than that of an objective lens with a low numerical aperture of the conventional optical pickup. In this embodiment, the working distance of the two-group objective lens having the high numerical aperture is as small as about 100 μm .

In general, a skew tolerance which is a value allowing the disk skew of an optical disk drive is reduced with an increase in numerical aperture of an objective lens used for the optical disk drive. A wavefront aberration due to a disk skew in the X-direction is expressed by the following Seidel's polynomial:

$$W(x, y) = W_{22}x^2 + W_{31}x(x^2 + y^2) + W_{51}x(x^2 + y^2)^2 \dots (3)$$

In the above equation, W_{22} designates astigmatism, W_{31} is tertiary coma-aberration, and W_{51} is quintic coma-aberration. Of these terms, the dominant tertiary coma-

aberration W_{31} is given by the following equation:

$$W_{31} = (n^2 - 1)n^2 \sin \theta \cos \theta / 2(n^2 - \sin^2 \theta)^{2/5} \cdot tNA^3 / \lambda \quad \dots (4)$$

From this equation, it is apparent that if a skew angle θ is 1° or less, the tertiary coma-aberration W_{31} is nearly proportional to both the cube of the numerical aperture NA and the thickness "t" of a disk substrate.

Accordingly, in the case of the optical disk drive in which the numerical aperture NA is increased up to 0.85 by using the aspherical two-group objective lens, for the purpose of ensuring a skew tolerance comparable to that for a DVD (Digital Video Disk), the substrate thickness "t" is required to be thinned to about 0.1 mm.

Fig. 2 is a diagram showing a configuration of an optical pickup for reproducing information from an optical disk according to an embodiment of the present invention.

Referring to Fig. 2, a light beam emitted from a semiconductor laser 16 is collimated through a collimator lens 17, passing through a diffraction grating 18 for generation of a side spot, a half-wave plate 19, a beam splitter 20 and a quarter-wave plate 23, and is converged on the optical disk 11 through the second lens 14 and the first lens 12 of the two-group objective lens. Part of the light beam emitted from the semiconductor laser 16 is

reflected from the beam splitter 20, being converged through a lens 21, and is led to a light receiving element 22 for detecting a light emission output. The light beam incident on the light receiving element 22 is used for control of a laser output onto the optical disk 11. In addition, the quantity of the light beam incident on the light receiving element 22 is adjusted by turning the half-wave plate 19.

The light beam reflected from the optical disk 11 is reflected from the beam splitter 20 to be led to a detection path. In this embodiment, a focus error signal is obtained by a spot size method, and a tracking error signal is obtained by a differential push-pull method. The light beam converged through a convex lens 24 undergoes optical path division by a hologram element 25 and a Foucault's prism 26, and is made incident on a light receiving element 27 for detecting an RF signal serving as a servo error signal, to be thus subjected to photoelectric conversion. As the light receiving element 27, a twelve-divided type light receiving element shown in Fig. 3 is used.

Fig. 3 is a diagram showing arrangement of twelve pieces of components constituting the above twelve-divided type light receiving element 27 in this

embodiment. As shown in Fig. 3, the light receiving element 27 has three pieces of two-divided light receiving portions, A and B, C and D, and E and F, and two pieces of three-divided light receiving portions, G, H and I, and J, K and L. In three pieces of the two-divided light receiving portions, the components A and B, C and D, and E and F are arranged in the track crossing direction or on the right and left sides of the track in such a manner as to be symmetric with respect to the tangential direction of the track, respectively; and in two pieces of the three-divided light receiving portions, the components G and J, H and K, and I and L are symmetrically arranged, respectively. A focus error signal FE is calculated on the basis of outputs from the components G to L of the light receiving element 27 shown in Fig. 3 by the following equation:

$$FE = \{H - (G + I)\} - \{K - (J + L)\} \quad \dots (5)$$

A tracking error signal TE is calculated on the basis of outputs from the components A to F of the light receiving element 27 shown in Fig. 3 by the following equation:

$$TE = (A - B) - n \{ (C - D) + (E - F) \} / 2 \quad \dots (6)$$

In this equation (6), symbol "n" designates a constant.

A reproducing RF signal is obtained by the sum of outputs from the components A and B of the light receiving element 27 shown in Fig. 3 as expressed by the following equation:

$$RF = A + B \quad \dots (7)$$

Fig. 4 is a circuit block diagram showing a configuration of an optical disk drive according to an embodiment of the present invention.

Referring to Fig. 4, a reproducing RF signal having been read from an optical disk 11 by an optical system 1 is supplied to a head amplifier 31. The head amplifier 31 is adapted to amplify a reproducing signal or each output of a detecting element supplied from the optical pickup of the optical system 1 at a specific level required to be processed at the subsequent step.

The reproducing signal thus amplified passes through an equalizer amplifier 32 and is supplied to a signal processing system, which is not shown, and simultaneously it is supplied to an RF signal detection circuit (A) 33 and an RF signal detection circuit (B) 34 as a signal for adjustment of a distance between lenses of a two-group objective lens of the optical pickup and adjustment of an offset in focus control.

A CPU 41 is adapted to control operation of the

entire optical disk drive. Specifically, the CPU 41 controls a spindle servo drive circuit 43 for driving a spindle motor 44, and particularly in this embodiment, the CPU 41 has a function for performing focus control of the optical system 1. The outputs from the RF signal detection circuit (A) 33 and the RF signal detection circuit (B) 34 are supplied to the CPU 41, being suitably processed, and are outputted as control signals for focus control. The procedure for performing focus control by the CPU 41 will be described later.

Part of the output from the head amplifier 31 is supplied to a focus error detection circuit 35 and a tracking error detection circuit 38. The focus error detection circuit 35 performs calculation for the input signal on the basis of the equation (5), and the tracking error detection circuit 38 performs calculation for the input signal on the basis of the equation (6). The outputs from both the circuits 35 and 38 are subjected to phase compensation by phase compensation circuits 36 and 39, being amplified into necessary amplitudes by amplifiers 37 and 40, and are fed back to the optical system 1, respectively. The distance between lenses constituting the two-group objective lens is controlled by a position control circuit 42, and an instruction

signal from the position control circuit 42 is controlled by the CPU 41.

Upon focusing operation, after start-up of focus control, the above described optimization of the distance between the lenses of the two-group objective lens and adjustment of an offset between the focal point of a light beam and a signal recording plane of the optical disk 11 are simultaneously performed to obtain the best reproducing signal. For an optical disk drive in which information is recordable in the optical disk 11, the position of each lens must be optimized before recording is started. For a unrecorded optical disk medium, the above optimization of the distance between the lenses of the two-group objective lens and the adjustment of an offset in focus control are performed on the basis of reproducing signals from pit portions previously, discretely formed on the medium.

Fig. 5 shows a pre-format of an optical disk in this embodiment.

As shown in Fig. 5, a pre-formatted recordable disk 50 has pit portions 51 on the outer peripheral side from the innermost peripheral lead-in 52. The pit portions 51 are composed of 16 pieces of radially extending sector marks or embossed pits spaced at equal intervals in the

circumferential direction. When the disk is rotated at a rotational speed of 3600 rpm equivalent to a frequency of 60 Hz in a CAV (Constant Angular Velocity) mode, the appearance frequency f_p of the pit portions 51 becomes 960 Hz.

Figs. 6A and 6B are diagrams showing a change in offset of focus servo and a change in amplitude of a reproducing RF signal in this embodiment, respectively.

To be more specific, Fig. 6B shows a change in amplitude of reproducing signals obtained from the pit portions 60 when the second electromagnetic actuator 15 mounting the second lens 14 of the two-group objective lens shown in Fig. 1 is moved in a sine wave mode (frequency: $f_2 = f_p/2$) along the direction of the optical axis in a state in which focus servo and tracking servo are applied to the optical pickup. In the case where the reproducing signals are continuously present, if the offset in focus servo is optimized as shown in Fig. 6A, the focal point changes in such a manner as to be centered on a signal recording plane of the optical disk, and accordingly, when the displacement of the focal point is zero in Fig. 6A, that is, when the focal point is just on the signal recording plane of the optical disk, the amplitude of the reproducing signal should be maximized

as shown by the peaks of the dotted line in Fig. 6B.

Further, at each of a point t_1 where the second objective lens becomes closest to the optical disk and a point t_2 where the second objective lens becomes remotest from the optical disk in Fig. 6A, the amplitude of the reproducing RF signal is minimized as shown in Fig. 6B. On the other hand, if amplitudes A_1 and A_2 at the points t_1 and t_2 which are both ends of the moving range of the second objective lens are different from each other, it is decided that the center value of the sine wave indicating a change in focus servo offset in Fig. 6A is deviated from the optimum value of the focus offset. The decision means that the focal point is present on the front side or the back side from the signal plane of the optical disk. That is to say, a relationship of $A_1 < A_2$ or $A_1 > A_2$ corresponds to the polarity (negative or positive) of an error signal.

Accordingly, the focus offset of the two-group objective lens can be adjusted to an optimum value by making use of the above relationship, that is, by adjusting the change in offset shown in Fig. 6A in such a manner that the amplitudes A_1 and A_2 shown in Fig. 6B become equal to each other. That is to say, by synchronizing the cycle in cyclic movement of the second

electromagnetic actuator 15 with the appearance cycle of the pit portions 60 if reproducing RF signals are not continuously present, it is possible to perform, even for an unrecorded medium, such a control that the amplitudes A1 and A2 are always present as shown by the solid line in Fig. 6B. In this way, the present invention can be applied to an unrecorded optical medium in a state before recording is started.

The distance between the lenses of the two-group objective lens can be adjusted to an optimum value by the same manner as that used for the above-described optimization of the offset in focus control. When the first electromagnetic actuator 13 mounting the first lens 12 of the two-group objective lens shown in Fig. 1 is moved along the direction of the optical axis in such a sine wave mode (frequency: $f_1 = f_p/n$, n : integer number) that the cycle of the sine wave is synchronized with the appearance cycle of the pit portions to thereby change the distance between the lenses of the two-group objective lens, the amplitude of a reproducing RF signal from the recording medium is maximized in a state in which the distance between the lenses of the two-group objective lens is optimized.

At this time, an error in focus control (defocus)

occurs together with spherical aberration caused by a change in the distance between the lenses of the two-group objective lens, and since a change in amplitude of a reproducing signal due to defocus is larger than a change in amplitude of the signal due to spherical aberration, the reproducing signal is largely affected by the change in amplitude of the signal due to defocus unless focus control is performed to cancel the defocus, with a result that it is difficult to obtain the change in amplitude of the signal due to spherical aberration separately from the change in amplitude of the signal due to defocus. In other words, to cyclically change the distance between the lenses of the two-group objective lens, it is required to ensure a sufficient gain of focus servo, and to change the distance at a low frequency satisfying the following equation (8) in order to remove the above defocus, thereby separating the frequency band of a signal used for detection of the change in amplitude due to spherical aberration from the frequency band of a signal used for detection of the change in amplitude due to defocus.

$$f_1 \ll f_2 \dots (8)$$

In the case of realizing a high density optical disk drive, it is desirable to simultaneously perform

both the above-described optimization of the offset in focus control and optimization of the distance between lenses of a two-group objective lens. For example, the electromagnetic actuators 13 and 15 are cyclically moved under a condition that f_1 and f_2 are set at 24 Hz and 480 Hz on the basis of the equation (8), respectively. In this case, the amplitude of each of reproducing signals from the pit portions is composed of amplitudes of a signal component of 24 Hz and a signal component of 480 Hz. In this embodiment, however, a signal component having passed through a low band filter (not shown) in the RF signal detection circuit (A) 33 is used for detection of a change in amplitude due to a change in the distance between the lenses of the two-group objective lens; and a signal component having passed through a high band filter (not shown) in the RF signal detection circuit (B) 34 is used for detection of a change in amplitude due to the offset in focus control. In addition, it is effective to perform the above-described optimization of the distance between the lenses of the two-group objective lens only upon reproducing a signal and to fix the lenses of the two-group objective lens at optimum positions, that is, stop the cyclic change in the distance between the lenses upon recording information.

Fig. 7 is a flow chart showing a procedure of optimization of focus control in this embodiment.

Referring to Fig. 7, at Step S1, a focus control offset and a distance between the lenses of the two-group objective lens are pre-set, and the above-described sine wave drive signals are applied to the first and second electromagnetic actuator 13 and 15 to cyclically, slightly move the first and second objective lenses 12 and 14 in the direction of the optical axis. At Step S2, a change in each of amplitudes A1 and A2 of a reproducing RF signal having passed through the low band filter is detected, and at Step S3, a change in each of amplitudes B1 and B2 of a reproducing RF signal having passed through the high band filter is detected. As shown in Fig. 6, the amplitudes A1 and B1 are detected at the point t1 where the first and second objective lens become closest to the optical disk, and the amplitudes A2 and B2 are detected at the point t2 where the first and second objective lens become remotest from the optical disk. In other words, the amplitudes A1 and B1 and the amplitudes A2 and B2 are detected at the points t1 and t2 which are both the ends of the moving range of the first and second objective lenses to and from the optical disk, respectively.

At Step S2-1, as shown in Fig. 5, it is decided that $A1 > A2$ or $A1 < A2$. If $A1 > A2$, the process goes on to Step S2-2, and if $A1 < A2$, the process goes on to Step S2-3. At Step S2-2, control is made to widen the distance between the lenses of the two-group objective lens. This is because in this embodiment, the relationship of $A1 > A2$ means that the distance between the lenses of the two-group objective lens is smaller than the optimum value.

At Step S2-4, the operational mode of the optical disk drive is decided. If the drive receives a recording command, the process goes on to Step S4, and if the drive receives a command indicating the normal reproducing mode, the process is returned to Step S2-1.

At Step S2-3, control is made to narrow the distance between the lenses of the two-group objective lens. This is because in this embodiment, the relationship of $A1 < A2$ means that the distance between the lenses of the two-group objective lens is larger than the optimum value. If $A1 = A2$ at Step S2-1, the process directly goes on to Step S2-4.

Similarly, at Step S2-4, the operational mode of the optical disk drive is decided. If the drive receives a recording command, the process goes on to Step S4, and if the drive receives a command indicating the normal

reproducing mode, the process is returned to Step S2-1.

At Step S4, the distance between the lenses of the two-group objective lens is fixed, and the application of the sine wave drive signal to the first electromagnetic actuator 13 is stopped, to stop the movement of the first objective lens 12, thus starting recording.

At Step S3-1, similarly, it is decided that $B1 > B2$ or $B1 < B2$. If $B1 > B2$, the process goes on to Step S3-2, and if $B1 < B2$, the process goes on to Step S3-3. At Step S3-2, control is made to increase a focus bias value. This is because in this embodiment, the relationship of $B1 > B2$ means that the signal plane is remoter from the drive than the focus position.

At Step S3-4, the operational mode of the optical disk drive is decided. If the drive receives a recording command, the process goes on to Step S4, and if the drive receives a command indicating the normal reproducing mode, the process is returned to Step S3-1.

At Step S3-3, control is made to decrease the focus bias value. This is because in this embodiment, the relationship of $B1 < B2$ means that the signal plane is closer to the drive than the focus position. If $B1 = B2$ at Step S3-1, the process directly goes on to Step S3-4.

Similarly, at Step S3-4, the operational mode of

the optical disk drive is decided. If the drive receives a recording command, the process goes on to Step S4, and if the drive receives a command indicating the normal reproducing mode, the process is returned to Step S3-1.

At Step S4, the focus bias value is fixed, and the application of the sine wave drive signal to the second electromagnetic actuator 15 is stopped, to stop the movement of the second objective lens 14, thus starting recording.

In this embodiment, the present invention is applied to the optical system using the two-group objective lens with the high numerical aperture in which the distance between the lenses of the two-group objective lens is variable; however, the present invention can be similarly applied to an optical system using a two-group objective lens with a high numerical aperture in which the distance between lenses of the two-group objective lens is fixed, or an optical system using a single objective lens with a high numerical aperture.

Fig. 8 shows a configuration of an optical pickup using a two-group objective lens in which the distance between lenses of the two-group objective lens is fixed according to an embodiment of the present invention.

The optical pickup adopts an optical system shown

in Fig. 8 in which relay lenses 28 and 29 for correcting spherical aberration are inserted between the quarter-wave plate 23 and the second lens 14 shown in Fig. 2. Upon focusing operation, like the above-described manner, after start-up of focus control, the first and second lenses 12 and 14 are integrally moved by the first electromagnetic actuator and the relay lens 29 or 28 for correcting spherical aberration is moved by the second electromagnetic actuator such that the amplitude of a reproducing RF signal is maximized, to thereby optimize the distance between the relay lenses and simultaneously adjust an offset between a focal point of a light beam and a signal recording plane of a recording medium. The first and second objective lenses may be integrated into a one objective lens.

In this embodiment, the amplitude of a signal from embossed pit portions previously, discretely formed on a recording medium is used for optimization of the distance between the lenses of the two-group objective lens and the offset in focus control; however, the information, not amplitude of a signal but such as a jitter value of a reproducing signal, can be used as an error signal.

Upon start-up of the optical disk drive, the positional adjustment of the lenses of the two-group

objective lens may be performed by making use of a continuous signal portion such as a lead-in portion provided at the innermost peripheral portion of an optical disk. In this case, the moving frequencies of the lenses can be suitably set in a range satisfying the relationship of $f_1 \ll f_2$.

In the case of an already recorded optical medium, an error signal can be obtained by reproducing a recorded signal. To be more specific, upon insertion of the optical disk, the optimization may be carried out at a high speed by reproducing a continuous pit signal at the innermost peripheral portion with the frequencies f_1 and f_2 increased up to, for example, 100 Hz and 2 kHz, respectively. At this time, by setting the amplitude of the cyclic movement of the lens at a large value, it is possible to obtain an error signal with a high S/N ratio. After that, by making the movement amount quite small for each reproducing track using the method described in this embodiment, the distance between lenses of the two-group objective lens and the offset in focus control are always, automatically allowed to follow a change with an elapsed time, a change in environmental temperature, and the like.

In this embodiment, although description has been made by example of the optical disk and optical disk

drive of the CAV format, the present invention can be applied to an optical disk and an optical disk drive of another format, such as a CLV (Constant Linear Velocity) or ZCLV (Zone CLV) format. In addition, upon extraction of information of a change in amplitude from a reproducing signal, in order to obtain accurate information, as described in this embodiment, it is desirable to detect an error signal in the state in which not only focus servo but also tracking servo is activated.

As described above, to realize a large capacity recordable optical disk drive using a two-group objective lens with a high numerical aperture, it is required to optimize the distance between lenses of the two-group objective lens for minimizing occurrence of spherical aberration due to the distance between the lenses of the two-group objective lens, and also it becomes important to optimize the offset in focus control for making the most of a focal depth which is essentially smaller than that of a related art optical disk drive.

According to the apparatus and method in this embodiment, it is possible to simultaneously optimize the distance between lenses of a two-group objective lens and the offset in focus control. Also in a recordable optical disk such as a DVD-RAM and an optical disk drive, the

position of each lens can be previously optimized in an unrecorded state.

The optical information recording/reproducing apparatus in this embodiment includes an optical pickup for making a light beam emitted from a light source incident on an optical disk 11 via a two-group objective lens so as to record or reproduce optical information on or from the optical disk 11, wherein the two-group objective lens includes a first lens 12 disposed in the vicinity of the optical disk and a second lens 14 disposed at a position facing to the optical disk 11 with the first lens 12 put therebetween. The apparatus further includes a first electromagnetic actuator 13 and a second electromagnetic actuator 15 as a moving means for cyclically moving at least one of the first lens 12 and the second lens 14 constituting part of the optical pickup in the direction of the optical axis thereof; and a position control circuit 42 as a control means for performing, upon focusing operation, the positional adjustment of the first lens 12 and the second lens 14 after start-up of focus control, on the basis of reproducing signals obtained from optical disk 11 at both ends of the cyclic movement of the at least one of the first lens 12 and the second lens 14 by the first and

second electromagnetic actuators 13 and 15 as the moving means. Accordingly, it is possible to simultaneously optimize the distance between the first lens 12 and the second lens 14 constituting the two-group objective lens and an offset in focus control, and hence to record or reproduce optical information data in the best focus control state.

In the optical information recording/reproducing apparatus in this embodiment, since a cycle of the cyclic movement of the at least one of the first lens 12 and the second lens 14 by the moving means configured as the first and second electromagnetic actuators 13 and 15 is synchronized with an appearance cycle of pit signal portions 51 which are previously, discretely formed on the optical disk 11, it is possible to optimize the distance between the lenses of the two-group objective lens and an offset in focus control using the appearance cycle of the embossed pit portions previously, discretely formed on the optical disk 11 as the recording medium.

In the optical information recording/reproducing apparatus in this embodiment, the positional adjustment of the first lens 12 and the second lens 14 is based on an amplitude of a reproducing signal detected by the optical pickup. When the distance between the lenses of

the two-group objective lens and the offset in focus control are optimized, the displacement of the focal point centered on a signal recording plane becomes zero, so that the amplitude of the signal is maximized. Further, the amplitude of the signal is minimized at a point where the objective lens becomes closest to the recording medium and at a point where the objective lens becomes remotest from the recording medium and accordingly, it is decided that the distance between the lenses of the two-group objective lens and the offset in focus control are deviated from the optimum values if the amplitudes at both the ends of the cyclic movement of the objective lens. As a result, it is possible to optimize the distance between the lenses of the two-group objective lens and the offset in focus control by adjusting them such that the amplitudes at the both ends of the above cyclic movement are equal to each other.

In the optical information recording/reproducing apparatus in this embodiment, of the amplitude of a reproducing signal detected by the optical pickup, an amplitude component having passed through a low band filter is used for detection of a variation in amplitude accompanied by a change in the distance between the first lens 12 and the second lens 14. Accordingly, even if

defocus generated simultaneously with generation of spherical aberration due to a change in the distance between the lenses is larger than a variation in amplitude due to the spherical aberration and such defocus cannot be canceled by focus control, it is possible to prevent the reproducing signal from being largely affected by a variation in amplitude due to defocus by cyclically changing the distance between the lenses of the two-group objective lens at a low frequency for separating a band of variation in amplitude due to spherical aberration from a band of variation in amplitude due to defocus, and hence to simultaneously optimize the focus offset and the distance between the lenses of the two-group objective lens.

In the optical information recording/reproducing apparatus in this embodiment, of the amplitude of a reproducing signal detected by the optical pickup, an amplitude component having passed through a high band filter is used for detection of a variation in amplitude resulting from an offset in focus control. Accordingly, in the case of recording or reproducing optical information on or from a high density optical recording medium, it is possible to simultaneously optimize the focus offset and the distance between the lenses of the

two-group objective lens by separating a band of variation in amplitude due to spherical aberration from a band of variation in amplitude due to defocus.

The optical information recording/reproducing method in this embodiment uses an optical pickup for making a light beam emitted from a light source incident on an optical disk 11 via a two-group objective lens so as to record or reproduce optical information on or from the optical disk 11, wherein the two-group objective lens includes a first lens 12 disposed in the vicinity of the optical disk 11 and a second lens 14 disposed at a position facing to the optical disk 11 with the first lens 12 put therebetween. The method includes the steps of cyclically moving at least one of the first lens 12 and the second lens 14 constituting part of the optical pickup in the direction of the optical axis thereof; and performing, upon focusing operation, the positional adjustment of the first lens 12 and the second lens 14 after start-up of focus control, on the basis of reproducing signals obtained from optical disk 11 at both ends of the cyclic movement of the at least one of the first lens 12 and the second lens 14. Accordingly, it is possible to simultaneously optimize the distance between the first lens 12 and the second lens 14 constituting the

two-group objective lens and an offset in focus control, and hence to record or reproduce optical information data at a high speed in the best focus control state.

In the optical information recording/reproducing method in this embodiment, since a cycle of the cyclic movement of the at least one of the first lens 12 and the second lens 14 is synchronized with an appearance cycle of pit signal portions 51 which are previously, discretely formed on the optical disk 11, it is possible to simply optimize the distance between the lenses of the two-group objective lens and an offset in focus control at a high speed using the appearance cycle of the embossed pit portions previously, discretely formed on the optical disk 11 as the recording medium.

In the optical information recording/reproducing method in this embodiment, the positional adjustment of the first lens 12 and the second lens 14 is based on an amplitude of a reproducing signal detected by the optical pickup. When the distance between the lenses of the two-group objective lens and the offset in focus control are optimized, the displacement of the focal point centered on a signal recording plane becomes zero, so that the amplitude of the signal is maximized. Further, the amplitude of the signal is minimized at a point where the

objective lens becomes closest to the recording medium and at a point where the objective lens becomes remotest from the recording medium and accordingly, it is detected that the distance between the lenses of the two-group objective lens and the offset in focus control are deviated from the optimum values if the amplitudes at the both ends of the cyclic movement of the objective lens. As a result, it is possible to simply optimize the distance between the lenses of the two-group objective lens and the offset in focus control at a high speed by adjusting them such that the amplitudes at the both ends of the above cyclic movement are equal to each other.

In the optical information recording/reproducing method in this embodiment, of the amplitude of a reproducing signal detected by the optical pickup, an amplitude component having passed through a low band filter is used for detection of a variation in amplitude accompanied by a change in the distance between the first lens 12 and the second lens 14. Accordingly, even if defocus generated simultaneously with generation of spherical aberration due to a change in the distance between the lenses is larger than a variation in amplitude due to the spherical aberration and such defocus cannot be canceled by focus control, it is

possible to prevent the reproducing signal from being largely affected by a variation in amplitude due to defocus by cyclically changing the distance between the lenses of the two-group objective lens at a low frequency for separating a band of variation in amplitude due to spherical aberration from a band of variation in amplitude due to defocus, and hence to simultaneously optimize the focus offset and the distance between the lenses of the two-group objective lens at a high speed.

In the optical information recording/reproducing method in this embodiment, of the amplitude of a reproducing signal detected by the optical pickup, an amplitude component having passed through a high band filter is used for detection of a variation in amplitude resulting from an offset in focus control. Accordingly, in the case of recording or reproducing optical information on or from a high density optical recording medium, it is possible to simultaneously optimize the focus offset and the distance between the lenses of the two-group objective lens at a high speed by separating a band of variation in amplitude due to spherical aberration due to a change in distance between the lenses of the two-group objective lens from a band of variation in amplitude due to defocus.

In this embodiment, the optical disk 1 is configured as a DVD-RAM; however, it may be configured as other optical disks such as a mini-disk (MD), a rewritable type CD-ROM, and a magneto-optic disk (MO).

While the preferred embodiments of the present invention have been described using the specific terms, such description is for illustrative purposes only, and it is to be understood that changes and variations may be made without departing from the spirit or scope of the following claims.

What is Claimed is:

1. An optical information recording/reproducing apparatus including an optical pickup for making a light beam emitted from a light source incident on a recording medium via a two-group objective lens so as to record or reproduce optical information on or from said recording medium, said two-group objective lens including a first lens disposed in the vicinity of said recording medium and a second lens disposed at a position facing to said recording medium with said first lens put therebetween, said apparatus comprising:

a moving means for cyclically moving at least one of said first lens and said second lens constituting part of said optical pickup in the direction of the optical axis thereof; and

a control means for performing, upon focusing operation, the positional adjustment of said first lens and said second lens after start-up of focus control, on the basis of reproducing signals obtained from said recording medium at one or more points of the cyclic movement of said at least one of said first lens and said second lens by said moving means.

2. An optical information recording/reproducing apparatus according to claim 1, wherein a cycle of the

cyclic movement of said at least one of said first lens and said second lens by said moving means is synchronized with an appearance cycle of pit signal portions which are previously, discretely formed on said recording medium.

3. An optical information recording/reproducing apparatus according to claim 1, wherein the positional adjustment of said first lens and said second lens is based on an envelope component of a reproducing signal detected by said optical pickup.

4. An optical information recording/reproducing apparatus according to claim 1, wherein a cycle of the cyclic change in a distance between said first lens and said second lens is longer than a cycle of the cyclic movement of said at least one of said first lens and said second lens.

5. An optical information recording/reproducing apparatus according to claim 1, wherein said control means performs, upon focusing operation, the positional adjustment of said first lens and said second lens after start-up of focus control, on the basis of reproducing signals from said recording medium at both ends of the cyclic movement of said at least one of said first lens and said second lens by said moving means.

6. An optical information recording/reproducing

apparatus according to claim 1, wherein of an envelope component of a reproducing signal detected by said optical pickup, a signal component having passed through a low band filter is used for detection of a variation in amplitude accompanied by the adjustment of a distance between said first lens and said second lens.

7. An optical information recording/reproducing apparatus according to claim 1, wherein of an envelope component of a reproducing signal detected by said optical pickup, a signal component having passed through a high band filter is used for detection of a variation in amplitude resulting from an offset in focus control.

8. An optical information recording/reproducing apparatus including an optical pickup for making a light beam emitted from a light source incident on a recording medium via a two-group objective lens and at least a third lens so as to record or reproduce optical information on or from said recording medium, said two-group objective lens including a first lens disposed in the vicinity of said recording medium and a second lens disposed at a position facing to said recording medium with said first lens put therebetween and being configured such that a distance between said first lens and said second lens is fixed, said apparatus comprising:

a first drive means for integrally driving said first lens and said second lens in the direction of the optical axis thereof, and a second drive means for driving said third lens in the direction of the optical axis thereof;

a moving means for cyclically moving at least one of said first and second lenses and said third lens constituting part of said optical pickup in the direction of the optical axis thereof; and

a control means for performing, upon focusing operation, the positional adjustment of said first and second lenses and said third lens after start-up of focus control, on the basis of reproducing signals obtained from said recording medium at one or more points of the cyclic movement of said at least one of said first and second lenses and said third lens by said moving means.

9. An optical information recording/reproducing apparatus according to claim 8, wherein a cycle of the cyclic movement of said at least one of said first and second lenses and said third lens by said moving means is synchronized with an appearance cycle of pit signal portions which are previously, discretely formed on said recording medium.

10. An optical information recording/reproducing

apparatus according to claim 8, wherein the positional adjustment of said first and second lenses and said third lens is based on an envelope component of a reproducing signal detected by said optical pickup.

11. An optical information recording/reproducing apparatus according to claim 8, wherein a cycle of the cyclic movement of said third lens is longer than a cycle of the cyclic movement of said first and second lenses.

12. An optical information recording/reproducing apparatus according to claim 8, wherein said control means performs, upon focusing operation, the positional adjustment of said first and second lenses after start-up of focus control, on the basis of reproducing signals obtained from said recording medium at both ends of the cyclic movement of said first and second lenses by said moving means.

13. An optical information recording/reproducing apparatus according to claim 8, wherein of an envelope component of a reproducing signal detected by said optical pickup, a signal component having passed through a low band filter is used for detection of a variation in amplitude accompanied by the movement of said third lense.

14. An optical information recording/reproducing apparatus according to claim 8, wherein of an envelope

component of a reproducing signal detected by said optical pickup, a signal component having passed through a high band filter is used for detection of a variation in amplitude resulting from an offset in focus control.

15. An optical information recording/reproducing apparatus including an optical pickup for making a light beam emitted from a light source incident on a recording medium via a primary lens disposed in the vicinity of said recording medium and at least a secondary lens so as to record or reproduce optical information on or from said recording medium, said apparatus comprising:

a first drive means for driving said primary lens in the direction of the optical axis thereof, and a second drive means for driving said secondary lens in the direction of the optical axis thereof;

a moving means for cyclically moving at least one of said primary lens and said secondary lens constituting part of said optical pickup in the direction of the optical axis thereof; and

a control means for performing, upon focusing operation, the positional adjustment of said primary lens and said secondary lens after start-up of focus control, on the basis of reproducing signals obtained from said recording medium at one or more points of the cyclic

movement of said at least one of said primary lens and said secondary lens by said moving means.

16. An optical information recording/reproducing apparatus according to claim 15, wherein a cycle of the cyclic movement of said at least one of said primary lens and said secondary lens by said moving means is synchronized with an appearance cycle of pit signal portions which are previously, discretely formed on said recording medium.

17. An optical information recording/reproducing apparatus according to claim 15, wherein the positional adjustment of said primary lens and said secondary lens is based on an envelope component of a reproducing signal detected by said optical pickup.

18. An optical information recording/reproducing apparatus according to claim 15, wherein a cycle of the cyclic movement of said secondary lens is longer than a cycle of the cyclic movement of said primary lens.

19. An optical information recording/reproducing apparatus according to claim 15, wherein said control means performs, upon focusing operation, the positional adjustment of said primary lens and said secondary lens after start-up of focus control, on the basis of reproducing signals from said recording medium at both

ends of the cyclic movement of said at least one of said primary lens and said secondary lens by said moving means.

20. An optical information recording/reproducing apparatus according to claim 15, wherein of an envelope component of a reproducing signal detected by said optical pickup, a signal component having passed through a low band filter is used for detection of a variation in amplitude accompanied by movement of said secondary lens.

21. An optical information recording/reproducing apparatus according to claim 15, wherein of an envelope component of a reproducing signal detected by said optical pickup, a signal component having passed through a high band filter is used for detection of a variation in amplitude resulting from an offset in focus control.

22. An optical information recording/reproducing method which is carried out by using an optical pickup for making a light beam emitted from a light source incident on a recording medium via a two-group objective lens so as to record or reproduce optical information on or from said recording medium, said two-group objective lens including a first lens disposed in the vicinity of said recording medium and a second lens disposed at a position facing to said recording medium with said first lens put therebetween, said method comprising the steps

of:

cyclically moving at least one of said first lens and said second lens constituting part of said optical pickup in the direction of the optical axis thereof; and performing, upon focusing operation, the positional adjustment of said first lens and said second lens after start-up of focus control, on the basis of reproducing signals obtained from said recording medium at one or more points of the cyclic movement of said at least one of said first lens and said second lens.

23. An optical information recording/reproducing method according to claim 22, wherein a cycle of the cyclic movement of said at least one of said first lens and said second lens is synchronized with an appearance cycle of pit signal portions which are previously, discretely formed on said recording medium.

24. An optical information recording/reproducing method according to claim 22, wherein the positional adjustment of said first lens and said second lens is based on an envelope component of a reproducing signal detected by said optical pickup.

25. An optical information recording/reproducing method according to claim 22, wherein a cycle of the cyclic change in a distance between said first lens and

said second lens is longer than a cycle of the cyclic movement of said at least one of said first lens and said second lens.

26. An optical information recording/reproducing method according to claim 22, wherein said step of performing the positional adjustment of said first lens and said second lens comprises the step of:

performing, upon focusing operation, the positional adjustment of said first lens and said second lens after start-up of focus control, on the basis of reproducing signals from said recording medium at both ends of the cyclic movement of said at least one of said first lens and said second lens.

27. An optical information recording/reproducing method according to claim 22, wherein of an envelope component of a reproducing signal detected by said optical pickup, a signal component having passed through a low band filter is used for detection of a variation in amplitude accompanied by the adjustment of a distance between said first lens and said second lens.

28. An optical information recording/reproducing method according to claim 22, wherein of an envelope component of a reproducing signal detected by said optical pickup, a signal component having passed through

a high band filter is used for detection of a variation in amplitude resulting from an offset in focus control.

ABSTRACT OF THE DISCLOSURE

Disclosed are an optical information recording/reproducing apparatus and an optical information recording/reproducing method for simultaneously optimizing a distance between lenses constituting a two-objective lens and an offset in focus control. The apparatus includes a first electromagnetic actuator and a second electromagnetic actuator for cyclically moving a first lens and a second lens in the direction of the optical axis thereof; and a position control circuit for performing, upon focusing operation, the positional adjustment of the first lens and the second lens after start-up of focus control, on the basis of reproducing signals obtained from an optical disk at both ends of the cyclic movement of the first and second lenses by the first and second electromagnetic actuators.

FIG. 1

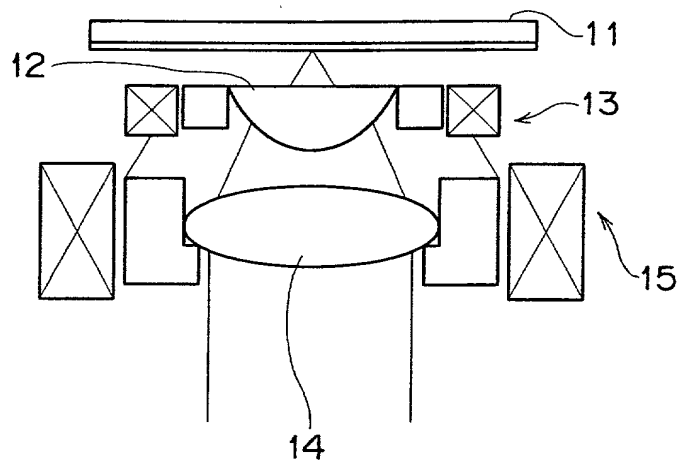


FIG. 2

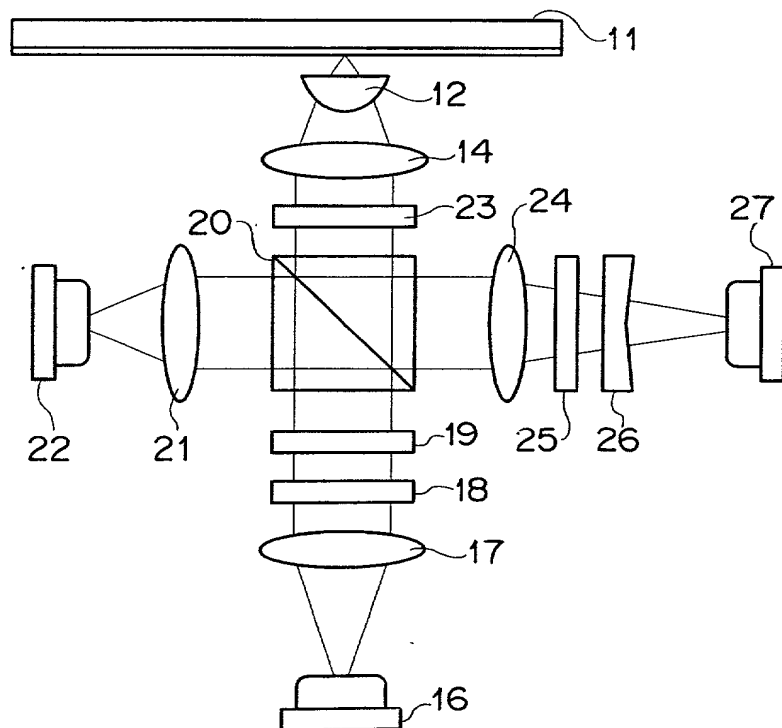
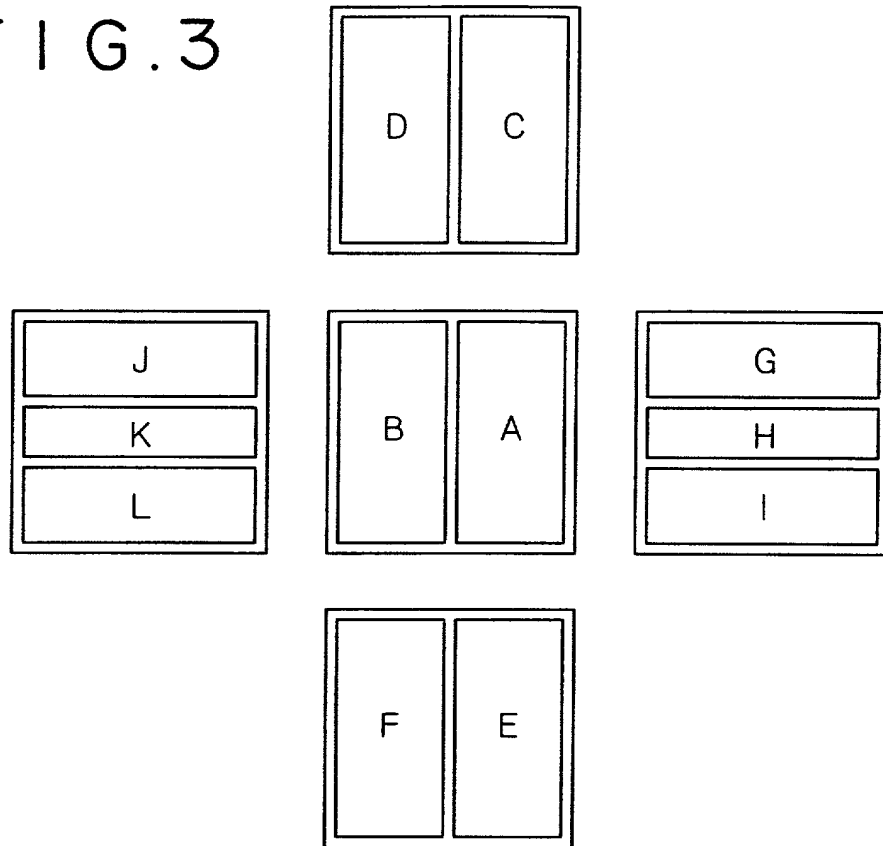
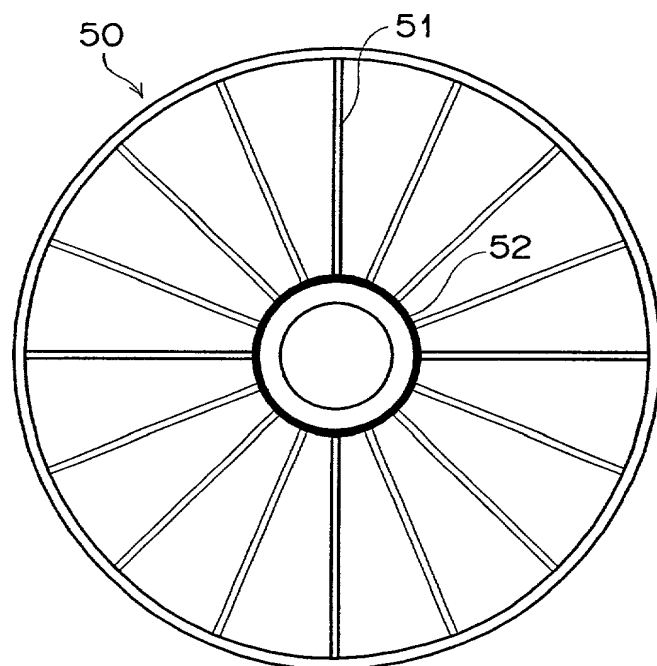


FIG. 3



$$\begin{aligned} FE &= \{H - (G + I)\} - \{K - (J + L)\} \\ TE &= (A - B) - n \{(C - D) + (E - F)\} / 2 \\ RF &= A + B \end{aligned}$$

FIG. 5



4.
G
—
F

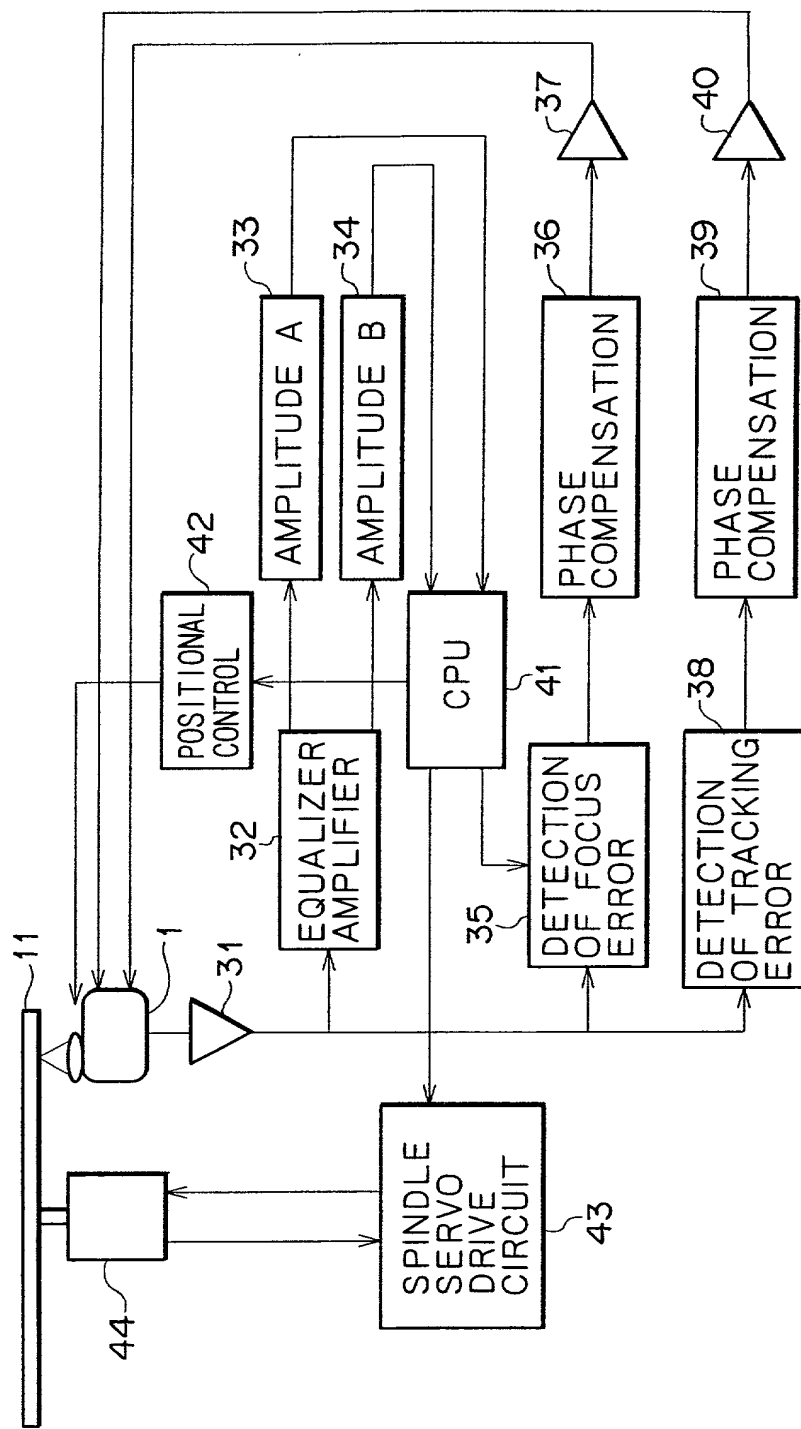


FIG. 6A

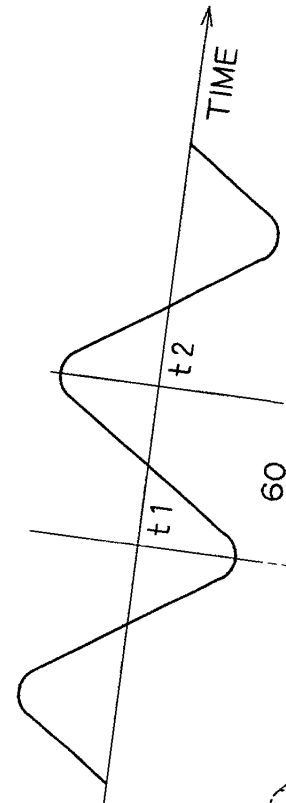


FIG. 6A FOCUS SERVO
OFFSET VALUE

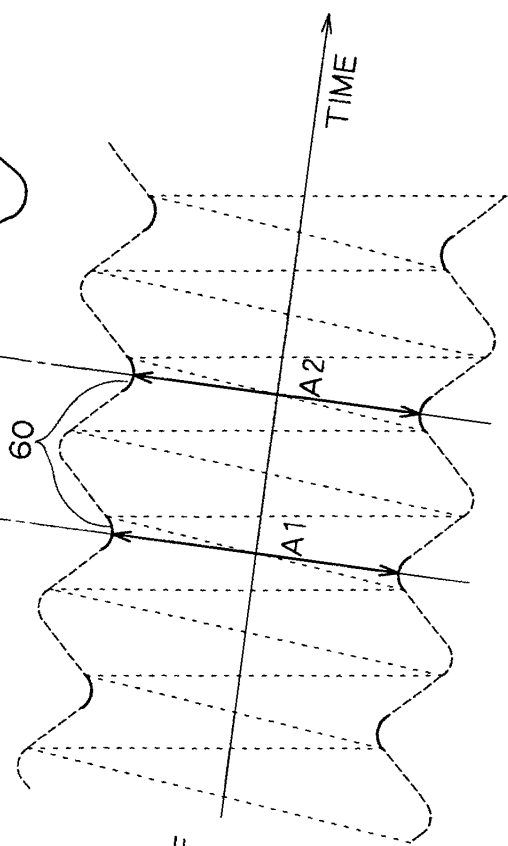


FIG. 6B AMPLITUDE OF
REPRODUCING
SIGNAL

7-6-7

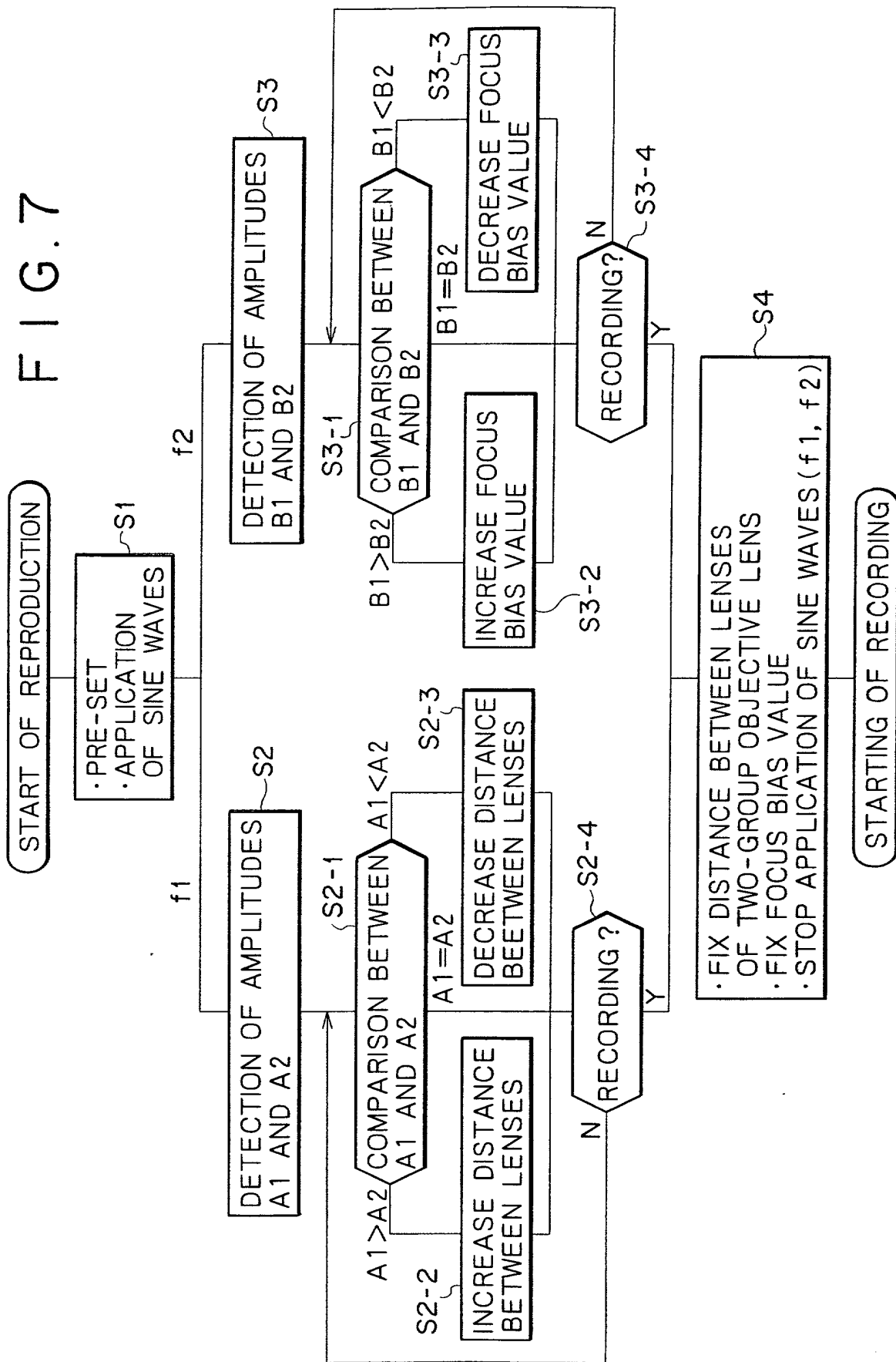
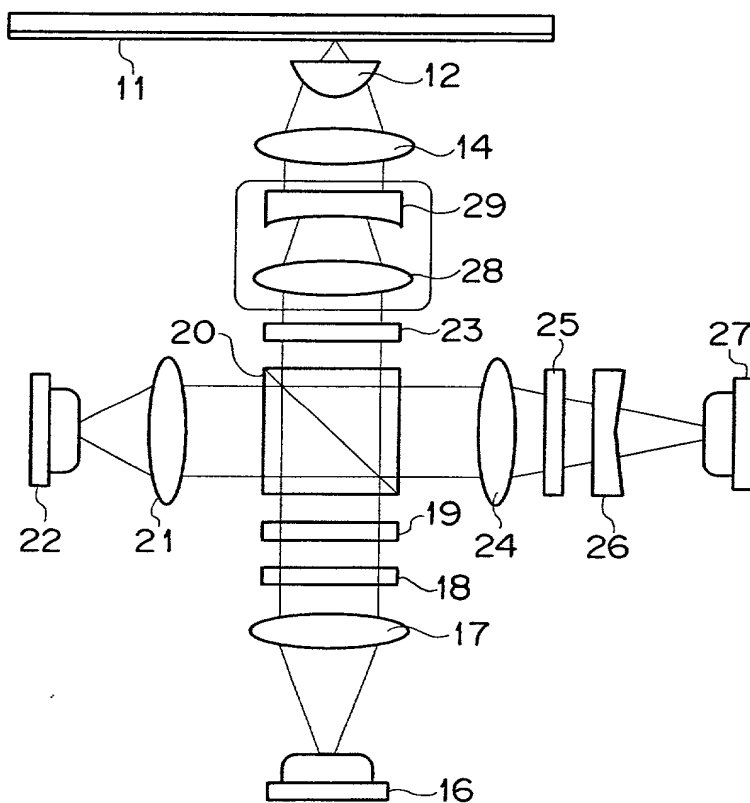


FIG. 8



SONY-P9651

BY EXPRESS MAIL NO. EL254067045US

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one named is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled.

APPARATUS AND METHOD FOR
RECORDING/REPRODUCING OPTICAL INFORMATION

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

the specification of which is attached hereto unless the following box is checked:

☐ 月 日に提出され、米国出願番号または特許協定条約
国際出願番号を _____ とし、
(該当する場合) _____ に訂正されました。I was filed on _____ as United States Application
Number or PCT International Application Number
_____ and was amended on _____ (if applicable).私は、特許請求範囲を含む上記訂正後の明細書を検討し、
内容を理解していることをここに表明します。I hereby state that I have reviewed and understand the
contents of the above identified specification, including the
claims, as amended by any amendment referred to above.私は、連邦規則法典第37編第1条56項に定義されると
おり、特許資格の有無について重要な情報を開示する義務が
あることを認めます。I acknowledge the duty to disclose information which is
material to patentability as defined in Title 37, Code of
Federal Regulations, Section 1.56.私は、米国法典第35編119条(a)-(d)項又は365条
(b)項に基づき下記の、米国以外の国の少なくとも一カ国を指
定している特許協力条約365(a)項に基づき国際出願、又は
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優先権をここに主張するとともに、優先権を主張している、
本出願の前に出願された特許または発明者証の外国出願を以
下に、枠内をマークすることで、示しています。I hereby claim foreign priority under Title 35, United States
Code, Section 119(a)-(d) or 365(b) of any foreign
application(s) for patent or inventor's certificate, or 365(a) of
any PCT International application which designated at least
one country other than the United States, listed below and
have also identified below, by checking the box, any foreign
application for patent or inventor's certificate, or PCT
International application having a filing date before that of the
application on which priority is claimed.Prior Foreign Application(s)
外国での先行出願Priority Not Claimed
優先権主張なしP10-173704
(Number)
(番号)Japan
(Country)
(国名)19 June 1998
(Day/Month/Year Filed)
(出願年月日)

Japanese Language Declaration

日本語宣言書

(Number) (番号)		(Country) (国名)		(Day/Month/Year Filed) (出願年月日)	
私は、第35編米国法典119条(e)項に基いて下記の米 国特許出願規定に記載された権利をここに主張いたします。				I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.	
(Application No.) (出願番号)		(Filing Date) (出願日)		(Application No.) (出願番号)	
				(Filing Date) (出願日)	
私は、下記の米国法典第35編120条に基いて下記の米 国特許出願に記載された権利、又は米国を指定している特許 協力条約365条(c)に基づき権利をここに主張します。また、 本出願の各請求範囲の内容が米国法典第35編112条 第1項又は特許協力条約で規定された方法で先行する米国特 許出願に開示されていない限り、その先行米国出願書提出日 以降で本出願書の日本国内または特許協力条約国際提出日ま での期間中に入手された、連邦規則法典第37編1条56項 で定義された特許資格の有無に関する重要な情報について開 示義務があることを認識しています。				I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.	
(Application No.) (出願番号)		(Filing Date) (出願日)		(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)	
(Application No.) (出願番号)		(Filing Date) (出願日)		(Status: Patented, Pending, Abandoned) (現況: 特許許可済、係属中、放棄済)	
私は、私自身の知識に基づいて本宣言書中で私が行なう表 明が真実であり、かつ私の入手した情報と私の信じるところ に基づき表明が全て真実であると信じていること、さらに故 意になされた虚偽の表明及びそれと同等の行為は米国法典第 18編第1001条に基づき、罰金または拘禁、もしくはそ の両方により処罰されること、そしてそのような故意による 虚偽の声明を行なえば、出願した、又は既に許可された特許 の有効性が失われることを認識し、よってここに上記のごと く宣誓を致します。				I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may be jeopardize the validity of the application or any patent issued thereon.	

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委任状： 私は下記の発明者として、本出願に関する一切の
手続きを米特許商標局に対して遂行する弁理士または代理人
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Japanese Language Declaration 日本語宣言書	
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